1. **Preamble**

The degree of Doctor of Philosophy (PhD) may be awarded to a candidate who completes a piece of research that demonstrates a significant and original contribution to knowledge in their field of study.

1.1 **Purpose**

This policy specifies the requirements for qualifications, progression, examination and award of a PhD. It must be read in association with the policy and procedures concerning research candidatures.

2. **Scope**

This policy applies to all PhD candidates.

3. **Definitions**

No terms have been defined.

4. **Policy Statement**

4.1 **Qualifications, Admission and Enrolment**

Only students enrolled in accordance with the University’s policy and procedures concerning research candidates will be eligible to proceed to the award of a PhD. These include the *Admissions Policy*, *Admission to Higher Degree Research Programs Procedures*, *Higher Degree Research Supervision Policy* and *Joint PhD Program Policy*.
4.1.1 All candidates must be enrolled in a School or an approved unit within the Faculty authorised to enrol research students and under the control of the Faculty Dean or equivalent.

4.1.2 All candidates must undertake the research at a UNSW affiliated location such as a UNSW campus, teaching hospital, or other research facility of the University as listed on the Research Gateway website.

4.2 Candidature and Progression
The progress of a PhD candidate must be managed in accordance with the University’s Variation of Candidature Procedure, and Annual Progress Review and Confirmation of Research Candidatures Procedure.

4.2.1 Inclusion of any coursework within the PhD must be approved by the Faculty Higher Degree Committee or equivalent (hereinafter referred to as the Committee) and must comply with Commonwealth Government legislation relating to research degree definitions.

4.2.2 Approval for extension to candidatures beyond the maximum time of eight full-time equivalent semesters must be obtained via the Committee.

4.3 Thesis Content
For an award of a PhD, the thesis must represent an original and significant contribution to knowledge of the subject.

4.3.1 The research described must have been completed during the period of enrolment for the degree.

4.3.2 The thesis must be written in English. The exception to this is for candidates in the Faculty of Arts and Social Sciences who may be permitted by the Committee to write a thesis in an appropriate language as specified in Faculty specific guidelines provided on the Graduate Research School (GRS) website.

4.3.3 The writing must have a satisfactory standard of expression and presentation.

4.3.4 The thesis must consist of an account of the candidate's own research. All research performed conjointly with other persons must be fully acknowledged and include a statement of the candidate's contribution to the conjoint research. Any editorial assistance in the preparation of the thesis must also be acknowledged.

4.4 Examination and Award
Theses shall be submitted in accordance with the Thesis Examination Procedures.

4.4.1 A candidate shall present a thesis for examination embodying the results of the investigation no earlier than six full-time equivalent semesters and no later than eight full-time equivalent semesters from the semester of enrolment.

4.4.2 Where the research has a substantial studio/production/exhibition component, the length of the written thesis may be proportionally reduced from the appropriate length of the thesis in the discipline.
4.4.3 The candidate must not submit any work or material that has previously been submitted for a university degree or other similar award as the main content of the thesis.

4.4.4 The thesis must be examined by two external examiners. There must be three external examiners of the thesis appointed, one of whom acts as a reserve examiner. External examiners must not have been an employee of the University within the past 5 years.

4.4.5 Each examiner must submit to the University a concise written report on the thesis and must recommend one of the following:

a) **Award** - The thesis merits the award of the degree.

b) **Minor Corrections** - The thesis merits the award of the degree subject to minor corrections as listed being made to the satisfaction of the Head of School or unit.

c) **Further Work** - The thesis requires further work on matters detailed in the report to the satisfaction of the Committee.

d) **Revise and re-examine** - The thesis in its present form does not merit the award of the degree and further work as described in the report is required. The revised thesis should be subject to re-examination.

e) **Non-Award** - The thesis does not merit the award of the degree and does not demonstrate sufficient merit to warrant resubmission.

4.4.6 For examinations where recommendations of 4.4.5 (a) and (b) only are received, written reports will be released to the Postgraduate Research Coordinator (PGC), or Head of School where the PGC is the supervisor, who must consider them and advise the Dean of Graduate Research which of the recommendations specified in 4.4.5 should be implemented.

4.4.7 For all examinations including recommendations 4.4.5 (c), (d) or (e), the Committee must consider the examiners’ recommendations and written reports and advise the Dean of Graduate Research which of the recommendations specified in 4.4.5 should be implemented.

4.4.8 In the case of a decision that the revised thesis should be the subject of re-examination as specified under 4.4.5 (d), the examiners who recommended re-examination must be appointed to re-examine the thesis. The examiner may not recommend option (d) for a re-examined thesis.

4.4.9 In the case of a recommendation not to award the degree as specified under 4.4.5 (e), the candidate must be given written notification of the reasons for this recommendation, and must be provided with the opportunity to make a written submission to the Committee.

4.5 **Fees**

A candidate must pay such fees as determined by the Vice Chancellor and specified in the relevant fees policy.
5. Legal & Policy Framework

5.1 Australian Qualifications Framework
   http://www.aqf.edu.au/

5.2 UNSW Delegations of Authority

6. Implementation

6.1 Roles & Responsibilities

6.1.1 Implementation of this policy will be the responsibility of the Dean of Graduate Research.

6.2 Support & Advice
   For general Policy information and assistance contact:
   Margaret O'Byrne
   Director, Graduate Research School
   Phone: 93857661
   mob@unsw.edu.au

7. Review
   This policy is due for review three years from its date of effect.
Appendix A: History

This Policy replaces the *Conditions for Award of Degrees: Doctor of Philosophy (PhD)*, published in the Handbook with no version control.

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<td>27/3/2012</td>
<td>1/4/2012</td>
<td>Transferred to Policy template, change at 2.4.4, other minor revisions</td>
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